

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

In re Application of:

WERNER HARTMEIER ET AL

Serial No.: 09/990,867

Filed: NOVEMBER 16, 2001

Confirmation No.: 2173

Title: DETERMINING POINTS OF DISTURBANCE

Attention: Application Branch

Date: MAY 6, 2002

Attorney Docket No.: OBJ-2852

Art Unit: 2873

Our Account No.: 04-1403

COPY OF PAPERS  
ORIGINALLY FILED

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.  
☐ This is a Rule 47 filing per attached Petition.  
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.  
☐ Specification originally filed in non-English language; hence verified translation attached of:  
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.  
☒ 5 sheet(s) of formal drawings enclosed: size: ☒ A4 ☐ 14" ☐ 8½ by 11  
☐ Attached is an assignment to MASCHINENFABRIK RIETER AG  
☒ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).  
100 57 170.0, filed in GERMANY on NOVEMBER 16, 2000, respectively.  
Certified copy (copies) ☒ attached; ☐ already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: \_\_\_\_\_ Verified Statement(s) establishing "small entity."  
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.  
☐ Attached: \_\_\_\_\_  
☒ Preliminary Amendment: PLEASE CANCEL CLAIMS 2 THROUGH 31

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED  
PER MPEP § 506 AND § 607

If not earlier paid, add Basic Filing Fee	\$ 740.00
Total Effective Claims 1 - 20 = _____ x \$18.00	= \$ _____
Independent Claims 1 - 3 = _____ x \$84.00	= \$ _____
If any proper multiple dependent claim (ignore improper) is present, add \$280.00	\$ _____
Filing Fee	\$ 740.00
Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of 2/6/02, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440).	\$ 920.00
If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$ 130.00
Subtotal	\$ 1790.00
If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract	\$ _____
Total	\$ 1790.00
If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00	\$ _____
If "assignment" box is X'd, add recording fee \$40.00	\$ 40.00
If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h)	\$ _____
TOTAL FEE ENCLOSED	\$ 1830.00

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 26, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Phone: 864-271-1592  
Facsimile: 864-233-7342

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

MAY 6, 2002

JACQUELINE M. LEONARD

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

DORITY & MANNING

ATTORNEYS AT LAW, P.A.

By Atty: STEPHEN E. BONDURA Reg. No. 35,070

Signature: *Stephen E. Bondura*

05/16/2002 STEUMEL1 00000016 09990867

03 FC:117

920.00 DP



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,867	11/16/2001	Werner Hartmeier	OBJ-2852

CONFIRMATION NO. 2173

COPY OF PAPERS  
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FORMALITIES LETTER



\*OC000000007166093\*

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07/05/2002 SSALLEKU 00000004 041403 09990867

Date Mailed: 12/06/2001

01 FC:103 216.00 CH  
02 FC:104 280.00 CH

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/16/2002 STEUMEL1 00000016 09990867

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 OP  
02 FC:105 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$496.
  - \$216 for 12 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1366.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Void date: 07/05/2002 STEUMEL1  
07/05/2002 SSALLEKU 00000004 041403 09990867

01 FC:103 216.00 CR  
02 FC:104 280.00 CR

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)) Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

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*A copy of this notice MUST be returned with the reply.*

*D-W*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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